

03-04-c2

A  
PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

ATTY DOCKET NO.: 5656-28

DATE: March 1, 2002

UTILITY PATENT APPLICATION TRANSMITTAL LETTER  
AND FEE TRANSMITTAL FORM (37 CFR 1.53(b))

BOX PATENT APPLICATION

Commissioner for Patents

Washington, DC 20231

Sir:

Transmitted herewith for filing under 37 CFR 1.53(b) is:

- ☐ a patent application  
☐ a Continuation ☐ a Divisional ☒ a Continuation-in-Part (CIP) Application of prior application no.: **09/827,535**; filed **April 6, 2001**, the disclosure of which is hereby incorporated herein by reference in its entirety.  
☒ and claims the benefit of Provisional Application No. **60/196,722**; filed **April 13, 2000**.  
☐ A Small Entity Statement(s) was filed in the prior application; Status still proper and desired.

Inventor(s) or Application Identifier:

**Xiansheng Zheng**, Birmingham, Alabama; **Michael E. Benser**, Birmingham, Alabama; **Raymond E. Ideker**, Birmingham, Alabama; **Gregory P. Walcott**, Wilsonville, Alabama; **Steven D. Girouard**, Woodbury, Minnesota

Entitled:

**INTER-ATRIAL SEPTUM OR SUPERIOR VENA CAVA ELECTRODES FOR ATRIAL DEFIBRILLATION**

Enclosed are:

- ☒ Application Transmittal Letter and Fee Transmittal Form (*A duplicate is enclosed for fee processing*)
- ☒ **36** pages of Specification (including **54** claims)
- ☒ **9** sheets of Formal Drawings (35 USC 113)
- ☐ Oath or Declaration
  - ☐ newly executed (*original or copy*)
  - ☐ copy from prior application (37 CFR 1.63(d) (*for continuation/divisional*) [Note Box 5 Below]
  - ☐ **DELETION OF INVENTOR(S)** (*Signed statement deleting inventor(s) named in the prior application*)
- ☐ Incorporation By Reference (*useable if box 4b is checked*)  
The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.
- ☐ Microfiche Computer Program (*Appendix*)
- ☒ Assignment papers (*cover sheet(s) and document(s)*) **FILED IN PARENT PROVISIONAL APPLICATION**
- ☐ Small Entity Statement(s)
- ☐ Information Disclosure Statement, PTO-1449, and \_\_\_\_\_ references cited
- ☐ Preliminary Amendment (*Please enter all claim amendments prior to calculating the filing fee.*)
- ☐ English Translation Document
- ☐ Certified Copy of \_\_\_\_\_ Application No. \_\_\_\_\_; Filed \_\_\_\_\_

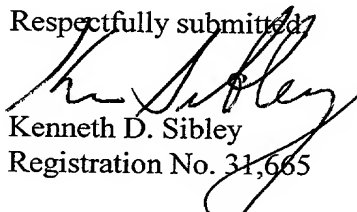
13. ☐ Sequence Listing/ Sequence Listing Diskette  
a. ☐ computer readable copy  
b. ☐ paper copy  
c. ☐ statement in support  
14. ☐ An Associate Power of Attorney  
15. ☒ Return Receipt Postcard (MPEP 503) (Should be specifically itemized)  
16. ☒ Other: Application Filed Under 37 CFR 1.41(c)

The fee has been calculated as shown below:

	Column 1 No. Filed	Column 2 No. Extra	Small Entity Rate Fee	Large Entity Rate Fee
BASIC FEE			\$370.00	\$ 740.00
TOTAL CLAIMS	54 - 20 =	34	x 09 = \$306.00	x 18 = \$
INDEP CLAIMS	7 - 3 =	4	x 42 = \$168.00	x 84 = \$
<input type="checkbox"/> MULTIPLE Dependent Claims Presented			+ 135 = \$	+ 270 = \$
If the difference in Col. 1 is less than zero, Enter "0" in Col. 2			Total \$ 844.00	Total \$

- ☒ A check in the amount of **\$844.00** to cover the filing fee is enclosed.  
☐ A check in the amount of \$ is enclosed to cover the filing fee, PLUS the Assignment Recordation fee (\$40.00).  
☐ Please charge my Deposit Account No. 50-0220 in the amount of \$.  
☐ The Commissioner is hereby authorized to credit overpayments or charge the following fees associated with this communication to Deposit Account No. 50-0220:  
a. ☐ Additional filing fees under 37 CFR 1.16 for presentation of extra claims.  
b. ☐ Additional patent application processing fees under 37 CFR 1.17.

Respectfully submitted,

  
Kenneth D. Sibley  
Registration No. 31,665



20792

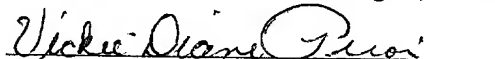
PATENT TRADEMARK OFFICE

**CERTIFICATE OF EXPRESS MAILING**

Express Mail Label No. EV015808814US

Date of Deposit: March 1, 2002

I hereby certify that this correspondence is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to: BOX PATENT APPLICATION, Commissioner for Patents, Washington, DC 20231.

  
Vickie Diane Prior

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re: Zheng, et al.  
Serial No. To Be Assigned  
Filed: Concurrently Herewith  
For: **INTER-ATRIAL SEPTUM OR SUPERIOR VENA CAVA  
ELECTRODES FOR ATRIAL DEFIBRILLATION**

March 1, 2002

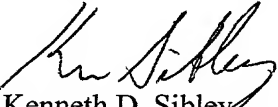
Box Patent Application  
Commissioner for Patents  
Washington, DC 20231

APPLICATION FILED UNDER 37 CFR 1.41(c)

Sir:

The above-identified application is being filed on behalf of the inventors,  
**Xiangsheng Zheng, Michael E. Benser, Raymond E. Ideker, Gregory P. Walcott, and  
Steven D. Girouard**, under the provisions of 37 CFR 1.41(c). A Declaration and Power of  
Attorney from the inventors will follow, 37 CFR 1.63.

Respectfully submitted,

  
Kenneth D. Sibley  
Registration No. 31,665



20792

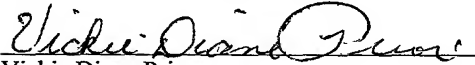
PATENT TRADEMARK OFFICE

**CERTIFICATE OF EXPRESS MAILING**

Express Mail Label No. EV015808814US

Date of Deposit: March 1, 2002

I hereby certify that this correspondence is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to:  
BOX PATENT APPLICATION, Commissioner for Patents, Washington, DC 20231.

  
Vickie Diane Prior